

03 - 19 - 02 -

MP \$  
#3



PATENT  
Attorney Docket No. 412

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Jeffrey Gullicksen, et al.	)	
	)	
Serial No.: 10/020,014	)	Group Art Unit: 2661
	)	
Filed: October 29, 2001	)	Examiner: Unassigned
	)	
For: SWITCHING CONTROL MECHANISM	)	
BASED UPON THE LOGICAL	)	
PARTITIONING OF A SWITCH	)	
ELEMENT	)	

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

**TRANSMITTAL LETTER**

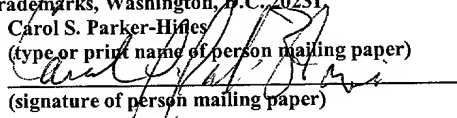
Applicant encloses the following documents in response to the Notice To File Missing

Parts Of Application dated January 14, 2002:

1. Response To Notice To File Missing Parts Of Application (in duplicate, with authorization to charge our Deposit Account);
2. Form PTO-1533; and
3. Executed Combined Declaration and Power of Attorney;
4. Assignment to CIENA Corporation;
5. Recordation Cover Sheet (in duplicate); and
6. Substitute Drawings (9 sheets 9 figures).

Certification Under 37 C.F.R. Section 1.10

I hereby certify that this Transmittal Letter and the documents referred to as enclosed therein is being deposited with the United States Postal Service on this 18<sup>th</sup> day of March, 2002 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV094677813US addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Carol S. Parker-Hines  
 (type or print name of person mailing paper)  
  
 (signature of person mailing paper)

10020014-031002

Please charge the basic filing fee, additional claims fee, late filing fee or oath or declaration surcharge totaling \$1,212.00 to our Deposit Account No. 50-0308.

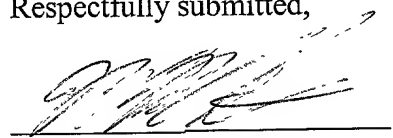
If there are any other fees due in connection with the filing of this response, please charge such fees to our deposit account. If a fee is required for an extension of time under 37 C.F.R.

1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

Dated: March 18, 2002

By:

  
Michael R. Cammarata  
Reg. No. 39,491

CIENA Corporation  
Legal Department  
1201 Winterson Road  
Linthicum, MD 21090  
(410) 865-8500  
(410) 865-8001 (fax)

2002-04-04 10:00:00



PATENT  
Attorney Docket No. 412

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of:	)	
Jeffrey Gullicksen, et al.	)	
	)	
Serial No.: 10/020,014	)	Group Art Unit: 2661
	)	
Filed: October 29, 2001	)	Examiner: Unassigned
	)	
For: SWITCHING CONTROL MECHANISM	)	
BASED UPON THE LOGICAL	)	
PARTITIONING OF A SWITCH	)	
ELEMENT	)	

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the communication of January 14, 2002, the period for response to which has been extended through March 18, 2002 by a Petition for Extension of Time and fee payment filed contemporaneously herewith, Applicants submit a Declaration/Power of Attorney and replacement drawings for filing in the above-identified application, and a copy of the Notice of Missing Parts. Authorization is hereby granted to charge the basic filing fee, additional claims fee, late filing fee or oath or declaration surcharge totaling \$1,212.00 to our Deposit Account No. 50-0308. A copy of this Response is submitted herewith.

Please associate the enclosed declaration and substitute drawings with the above-identified application.

If there are any other fees due in connection with the filing of this response, please charge the fees to our Deposit Account. If a fee is required for an extension of time under 37 C.F.R. §

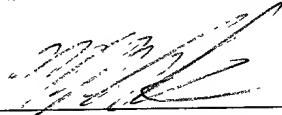
10020014-031002

1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

Dated: March 18, 2002

By:

  
Michael R. Cammarata  
Reg. No. 39,491

CIENA Corporation  
Legal Department  
1201 Winterson Road  
Linthicum, MD 21090  
(410) 865-8500  
(410) 865-8001 (fax)

2002031802 1002004



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,014	10/29/2001	Jeff Gullicksen	60030-0014 412

CONFIRMATION NO. 7261

## FORMALITIES LETTER



\*OC00000007301653\*

CIENA Corporation  
Legal Department  
1201 Winterson Road  
Linthicum, MD 21090

Date Mailed: 01/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/17/2002 TV0111 00000001 500308 10020014

FILED UNDER 37 CFR 1.53(b)

01 FC 01 740.00 CH  
02 FC 03 342.00 CH  
03 FC 05 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$342.
  - \$342 for 19 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1212.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

RECEIVED 2002-04-17

*A copy of this notice MUST be returned with the reply.*

*B. M. T.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10000044.034802